

Table 2 - STCE Good Practices

Functional Process	STCE Good Practices
Awareness-raising outreach to the trade community	Visits to enterprises and the provision of briefings and resources online and through trade groups or industry associations Outreach coordinated or conducted jointly between Customs and regulatory authorities. Creation of a mechanism for traders to report suspicious procurement enquiries.
Audit	Linking the audit and risk assessment processes. Separation of audits focused on revenue collection and those focused on STCs. STC audit teams with specialized knowledge of national STC legislation and control lists, licensing procedures, sanctions, evasion methods, etc.
Risk assessment, profiling, and targeting	Promoting understanding of the dual-use and commercial nature of many strategic goods, and the resulting selectivity challenges. Profiles based on the nature of the goods as well as the nature of the transaction. Mechanisms for end-user screening. Profiles based on intelligence concerning the identity and modus operandi of supply and procurement agents, brokers, and networks. Use of information arising from previous transactions, seizures, investigations, and audits. Use of information from the licensing or permitting agency, such as the nature of common strategic goods with which they deal, known traders related to those goods, suspicious parties, and (critically) information related to permits/licences issued and denied. Use of “release profiles” for patterns that correspond to low-risk shipments.
Verification and commodity identification	Applying checks and controls in a progressive manner, beginning with the least invasive and disruptive, and progressing only as necessary. Using commercial invoices to identify the goods and parties to the transaction. Use of the WCO Risk Management Compendium in developing and evaluating risk indicators for documentary checks. Understanding the limitations of technical examinations using portal monitors or container X-ray scans with respect to most strategic goods. Training inspectors in commodity identification, and the ability to obtain timely technical support from qualified specialists.